## ED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit:

2131

Gerald R. Malan, et al.

Examiner:

Serial No.:

09/855,818

Filed:

May 15, 2001

For:

METHOD AND SYSTEM FOR PROTECTING PUBLICLY ACCESSIBLE NETWORK COMPUTER SERVICES FROM

UNDESIRABLE NETWORK TRAFFIC IN REAL-TIME

Attorney Docket No.: UOM 0206 PUSP

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents United States Patent and Trademark Office Washington, D.C. 20231

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and § 1.97-1.98, the references listed and identified on the attached Forms PTO/SB08A and SB08B are being submitted herewith for consideration by the Examiner.

The undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application (copy enclosed) not more than three months prior to the filing of this Statement, i.e., March 11, 2002.

I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal United States Patent Service as first-class mail, postage pre-paid, in an envelope addressed to: Commissioner and Trademark Office, Washington, D.C. 20231 on:

March 20, 2002

David R. Syrowik Date of Deposit

Name of Person Signing

Signature

While this Statement is being filed in compliance with the duty of disclosure, citation of the attached references is not to be construed as an admission that any of the reference(s) are "material" as defined under 37 C.F.R. § 1.56(b).

A copy of each reference listed on the attached Forms PTO/SB08A and SB08B are included herewith. Consideration and entry into the record of these references is respectfully requested.

Respectfully submitted,

Gerald R. Malan, et al.

By:\_\_/

David R. Syrowik

Reg. No. 27,956

Attorney/Agent for Applicant

Date: March 20, 2002

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| NIE O D                |                        |       | N COURT  | Application Number     | 09/855,818              |  |  |
|                        |                        |       |          | Filing Date            | May 15, 2001            |  |  |
| STATEMENT BY APPLICANT |                        |       |          | First Named Inventor   | Gerald R. Malan, et al. |  |  |
|                        |                        |       |          | Group Art Unit         | 2131                    |  |  |
| (u                     | se as many sheets      | as ne | cessary) | Examiner Name          |                         |  |  |
| Sheet                  | 1                      | of    | 2        | Attorney Docket Number | UOM 0206 PUSP           |  |  |

|                                |    |                      |   | U.S. PATENT DO                                     | CUMENTS                      |   |
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|                                |    | U.S. PATENT DOCUMENT |   |  | Date of Publication of       |   |
| Examiner Cite<br>Initials No.1 |    | Number               | Kind Code <sup>2</sup><br>( <i>if known</i> ) | Name of Patentee or<br>Applicant of Cited Document | Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines, Where Relevar<br>Passages or Relevant Figures Appear |
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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Complete if Known Substitute for Form 1449B/PTO 09/855,818 **Application Number** INFORMATION DISCLOSURE Filing Date May 15, 2001 STATEMENT BY APPLICANT Gerald R. Malan, et al. **First Named Inventor** 2131 Group Art Unit (use as many sheets as necessary) **Examiner Name** 2 UOM 0206 PUSP of Attorney Docket Number Sheet OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Examiner T <sup>2</sup> publisher, city and/or country where published. Cite No.1 Initials ZIEGLER, THOMAS, ET AL., A Distributed Mechanism For Identification And Discrimination of Non TCP-Friendly Flows In The Internet, XP-002189714, Paris, France, May 14-19, 2000, Pages 1-13 HARRIS, B., ET AL., TCP/IP Security Threats And Attack Methods, Computer Communications, Vol. 22, No. 10, June 25, 1999, XP-004178591, Pages 885-897 SMITH, ROBERT N., ET AL., Operating Firewalls Outside The LAN Perimeter, IEEE International Performance, Computing and Communications Conference, Phoenix, Arizona, February 10-12, 1999, Pages 493-498

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